

# EXECUTIVE FORUM ON RETIREMENT INVESTMENT & INCOME AGENDA



THURSDAY, APRIL 23, 2009

8:00 AM **CONTINENTAL BREAKFAST**

8:30 AM **WELCOME & OPENING REMARKS**

Lisa D. Finkel, Senior Vice President, Partner & Director, Marketing Services–Wellington Management Company  
Chairperson–Retirement Investment & Income Council

Andrew Seibert, President–SmartMoney

Lisa Finkel will address the issues facing mutual fund companies and the unique role the RIIC will play for MFEA member firms.

8:45 AM **KEYNOTE ADDRESS: PUBLIC POLICY, REGULATION AND THE FUTURE FOR RETIREMENT INVESTMENT PROGRAMS**

Jason K. Bortz, Partner–Davis & Harman LLP

Jason Bortz will address the likely impact of the new Congress and President on regulation and public policy and what it may mean for 401ks, pension programs and mutual fund companies.

9:30 AM **PANEL PRESENTATION: PRODUCTS, PROGRAMS, PLATFORMS AND CONSUMERS**  
**Panelists:**

Laura Varas, Principal–Mast Hill Consulting

Jack Sharry, Executive Vice President–LifeYield, LLC

A comprehensive look at consumer needs and trends, products and programs that will be required to meet the future demands of the retirement marketplace.

10:15 AM **TAKE A BREAK**

Refreshments and Cell Phones

10:30 AM **CASE STUDY: GOING BEYOND DCIO–BECOMING A SOLUTIONS PROVIDER IN THE DEFINED CONTRIBUTION MARKET**

John Curry, Senior Partner Relationship Officer–AllianceBernstein Defined Contribution Investments

John Curry will present a case study detailing how AllianceBernstein serves the defined contribution marketplace and, in the process, has redefined its role as an asset manager–from competing for “slots and roles” to becoming a total solutions provider for financial advisors, pension consultants, and plan sponsors. In addition to the product and marketing aspects of their strategy, he’ll touch on the organizational and cultural challenges involved in this transformation.

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## 11:15 AM **PLAN SPONSOR PANEL**

### **Moderator:**

Jeff M. Collins, CFA, Vice President, Director, Pension Strategies & Relationship Manager–Wellington Management Company

### **Panelists:**

John St. Martin, Manager, Pension & Savings–Liberty Mutual

Lynn Cordaro, Vice President & Corporate Controller–Invensys Inc.

What are top priorities of the decision makers overseeing defined contribution and other retirement plans? This panel will answer questions “top of mind” for the individuals designing and implementing new solutions for tomorrow’s retirees.

## 12:00 PM **LUNCH & NETWORKING**

## 1:00 PM **MFEA RETIREMENT INVESTMENT AND INCOME ROUNDTABLE**

Lisa D. Finkel, Senior Vice President, Partner & Director, Marketing Services–Wellington Management Company

Brian Smith, Director–Mutual Fund Education Alliance

Fund company members of the MFEA and invited guests engage in an open Roundtable. Each fund company is asked to briefly comment on their own important annual goals and/or key issues impacting their business.

## 3:00 PM **ADJOURNMENT**