MEETING EXPECTATIONS OF THE NEW RETIREMENT MARKETPLACE

The MFEA Retirement Investment and Income (RIIC) Executive Council Forum, is designed for senior executives and managers involved in strategic planning, execution and management of the critical areas of retirement and defined benefits. This event provides a vital Forum for the exchange of ideas and candid conversation on key issues fund executives must address to position their firms for growth and success in the retirement industry.

8:00 am — Continental Breakfast

8:15 am — **WELCOME & OPENING REMARKS**

John Steinberg, *Marketing Services Manager*—Wellington Management Company

RIIC Steering Committee—MFEA

8:30 am — **THE LEGISLATIVE LANDSCAPE**

Mark J. Duggan, *Of Counsel*—K&L Gates LLP

Duggan will discuss the outlook on ERISA-related regulation and public policy and what it may mean for 401ks, pension programs and mutual fund firms. His remarks will also include the SEC's proposed changes to Rule 12b-1 and challenges the fund industry may face under the proposed framework for marketing and distribution payments.

9:15 am — **BEHAVIOR PATTERNS OF THE RETIREMENT INVESTOR**

Julie Agnew, *Associate Professor of Finance & Economics and Co-Director of the Center for Interdisciplinary Finance*—William and Mary Mason School of Business

For many people, financial security in retirement depends on decisions they make today. Learn why many investors choose the "path of least resistance" or rely on simple heuristics. Hear what firms can do to help them be successful in their decision making process.

10:00 am — Take a Break

Refreshments & Cell Phones

(continued)

MEETING EXPECTATIONS OF THE NEW RETIREMENT MARKETPLACE

10:15 am **INFLATION: TARGETING THE REAL RISK TO RETIREMENT**

Rick A. Wurster, CFA, ***Vice President & Asset Allocation Portfolio Manager***—Wellington Management Company

Given the scale of government stimulus in recent years, the question is not if inflation will rear its ugly head, but when. Wurster will discuss why defined contribution plan sponsors must provide participants with inflation-hedging solutions or put their long-term wealth at risk.

11:00 am **PANEL PRESENTATION: WHAT ROLE SHOULD MUTUAL FUNDS & INSURANCE PRODUCTS PLAY IN RETIREMENT INVESTING?**

Randall J. Bachman, ***Assistant Vice President, Product Management***—Principal Financial Group

Robert L. Carroll, ***Divisional Vice President, Eastern Division***—John Hancock Retirement Plan Services

Jason Jagatic, ***Vice President, Retirement Income Planning Products***—Fidelity Investments

E. Thomas Johnson, Jr., ***Senior Vice President, Retirement Income Security***—New York Life Insurance Company

Lawrence J. Massaro, ***Product Manager***—Vanguard Institutional Investor Group

Mutual funds? Annuities? Combination products? The panel will discuss the pros and cons of these investment options and how they can work—alone or in combination—to build a successful retirement portfolio.

12:00 pm Lunch

1:00 pm **MFEA ROUNDTABLE**

Fund company members of the MFEA and invited guests engage in an open Roundtable discussion where attendees will have the opportunity to engage in candid conversation on key issues and topics affecting the retirement and defined benefit industries.

3:00 pm Adjournment